

COPIES OF PAPERS
ORIGINALLY FILED

#6
03C0

Please type a plus sign (+) inside this box ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|---------------------------|
| Application Number | 09/960,216 |
| Filing Date | 09/21/2001 |
| First Named Inventor | Kenneth D. Calvert ET AL. |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 99-373.1 |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input checked="" type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

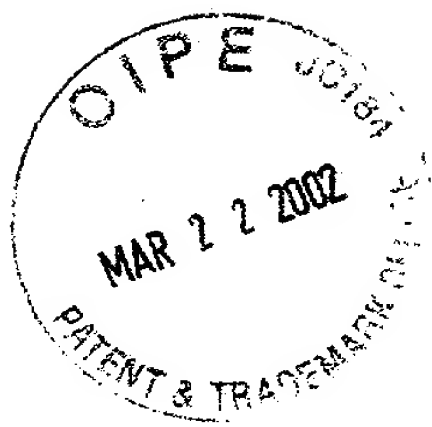
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm or Individual name | Thomas L. Derry, Registration No. 46,660 |
| Signature | |
| Date | March 13, 2002 |

CERTIFICATE OF MAILING

| | | | |
|---|-----------------|------|-----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 03/13/2002 | | | |
| Typed or printed name | Thomas L. Derry | | |
| Signature | | Date | 3-13-2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

KENNETH D. CALVERT, ET AL.

Application Number: 09/960,216

Filed: September 21, 2001

Title: METHOD AND APPARATUS FOR
INSTALLING A PREPACKAGED
PISTON ASSEMBLY IN AN
ENGINE

Attorney Docket No.: 99-373.1

) Group Art Unit: (Unassigned)

) Examiner: (Unassigned)

)

)

)

)

)

)

)

)

)

)

)

)

Peoria, Illinois 61629-6490

March 13, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: OFFICIAL DRAFTSPERSON

DRAWING CORRECTIONS

Sir:

Subject to the approval of the Examiner, enclosed are new
formal drawings, sheets 1/6, 2/6, 3/6, 4/6, 5/6, and 6/6.

Respectfully submitted,

Thomas L. Derry
Registration No. 46,660
Caterpillar Inc.

Telephone: (309) 675-1029
TLD:hjf
Enc.

20020313 09:00:00



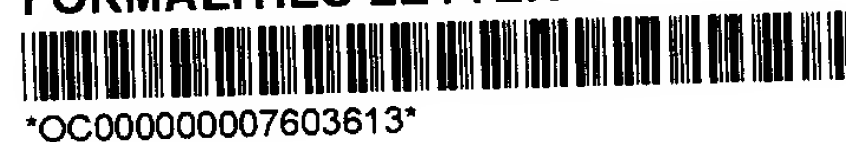
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/960,216 | 09/21/2001 | Kenneth D. Calvert | 99-373.1 |

CONFIRMATION NO. 5160

FORMALITIES LETTER



OC000000007603613

719
CATERPILLAR INC.
100 N.E. ADAMS STREET
PATENT DEPT.
PEORIA, IL 616296490

Date Mailed: 03/08/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

A copy of this notice MUST be returned with the reply.

Mr. Seymour
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

SCANNED, # 12